## Received by NSD/FARA Registration Unit 08/04/2021 1:23:33 PM OMB No. 1124-0006; Expires July 31, 2023

### U.S. Department of Justice

Washington, DC 20530

## Exhibit A to Registration Statement Pursuant to the Foreign Agents Registration Act of 1938, as amended

INSTRUCTIONS. Furnish this exhibit for EACH foreign principal listed in an initial statement and for EACH additional foreign principal acquired subsequently. The filing of this document requires the payment of a filing fee as set forth in Rule (d)(1), 28 C.F.R. § 5.5(d)(1). Compliance is accomplished by filing an electronic Exhibit A form at <a href="https://www.fara.gov">https://www.fara.gov</a>.

Privacy Act Statement. The filing of this document is required by the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. § 611 *et seq.*, for the purposes of registration under the Act and public disclosure. Provision of the information requested is mandatory, and failure to provide this information is subject to the penalty and enforcement provisions established in Section 8 of the Act. Every registration statement, short form registration statement, supplemental statement, exhibit, amendment, copy of informational materials or other document or information filed with the Attorney General under this Act is a public record open to public examination, inspection and copying during the posted business hours of the FARA Unit in Washington, DC. Statements are also available online at the FARA Unit's webpage: <a href="https://www.fara.gov">https://www.fara.gov</a>. One copy of every such document, other than informational materials, is automatically provided to the Secretary of State pursuant to Section 6(b) of the Act, and copies of any and all documents are routinely made available to other agencies, departments and Congress pursuant to Section 6(c) of the Act. The Attorney General also transmits a semi-annual report to Congress on the administration of the Act which lists the names of all agents registered under the Act and the foreign principals they represent. This report is available to the public in print and online at: <a href="https://www.fara.gov">https://www.fara.gov</a>.

Public Reporting Burden. Public reporting burden for this collection of information is estimated to average .22 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Chief, FARA Unit, Counterintelligence and Export Control Section, National Security Division, U.S. Department of Justice, Washington, DC 20530; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503.

1. Name of Registrant		2. Registration Number	
Global Business Diplomacy LLC		6986	
3. Primary Address of Registrant			
7302 Broxburn Court, Bethesda, MD 20817			
The second control of			
4. Name of Foreign Principal	5. Address of Foreign Principal		
Italian Trade Agency Chicago Office, Italian Trade Commission	401 North Michigan Avenue, Suite Chicago, IL 60611	1720	
Trace commission	chicago, il otti		
( C + /D : D + /1			
6. Country/Region Represented			
7. Indicate whether the foreign principal is one of the following	g:		
<b>▼</b> Government of a foreign country <sup>1</sup>			
☐ Foreign political party			
☐ Foreign or domestic organization: If either, check or	ne of the following:		
☐ Partnership ☐	Committee		
☐ Corporation ☐	Voluntary group		
☐ Association ☐			
☐ Individual-State nationality			
8. If the foreign principal is a foreign government, state:			
a) Branch or agency represented by the registrant			
Chicago Office			
h) Name and title of official with whom registrant on	garges.		
b) Name and title of official with whom registrant engages Gabriele Barone, Deputy Trade Commissioner, Italian Trade Agency Chicago Office			
• • • • • • • • • • • • • • • • • • • •			

<sup>1 &</sup>quot;Government of a foreign country," as defined in Section 1(e) of the Act, includes any person or group of persons exercising sovereign de facto or de jure political jurisdiction over any country, other than the United States, or over any part of such country, and includes any subdivision of any such group and any group or agency to which such sovereign de facto or de jure authority or functions are directly or indirectly delegated. Such term shall include any faction or body of insurgents within a country assuming to exercise governmental authority whether such faction or body of insurgents has or has not been recognized by the United States.

	Received by NSD/FARA Registration Unit 08/04/2021 1:23:33 PM	
0 If the	Foreign principal is a foreign political party, state:	
	n) Name and title of official with whom registrant engages	
Ì	o) Aim, mission or objective of foreign political party	
10. If the f	oreign principal is not a foreign government or a foreign political party:	
1	a) State the nature of the business or activity of this foreign principal.	
1	b) Is this foreign principal:	
	Supervised by a foreign government, foreign political party, or other foreign principal	Yes □ No □
	Owned by a foreign government, foreign political party, or other foreign principal	Yes □ No □
	Directed by a foreign government, foreign political party, or other foreign principal	Yes □ No □
	Controlled by a foreign government, foreign political party, or other foreign principal	Yes □ No □
]	Financed by a foreign government, foreign political party, or other foreign principal	Yes □ No □
S	Subsidized in part by a foreign government, foreign political party, or other foreign principal	Yes □ No □
11. Explai	n fully all items answered "Yes" in Item 10(b).	
12 If the	foreign principal is an organization and is not owned or controlled by a foreign government, foreign	nolitical party or other
	n principal, state who owns and controls it.	political party of other

### **EXECUTION**

Date	Printed Name	Signature
08/04/2021	Carmine D'Aloisio	/s/Carmine D'Aloisio
_		<u></u>

### **EXECUTION**

Date	Printed Name	Signature
08/03/2021	CARMINE D'ALOISIO	arme Moise
/ /		J .

OMB No. 1124-0004; Expires July 31, 2023

U.S. Department of Justice

Washington, DC 20530

## Exhibit B to Registration Statement Pursuant to the Foreign Agents Registration Act of 1938, as amended

INSTRUCTIONS. A registrant must furnish as an Exhibit B copies of each written agreement and the terms and conditions of each oral agreement with his foreign principal, including all modifications of such agreements, or, where no contract exists, a full statement of all the circumstances by reason of which the registrant is acting as an agent of a foreign principal. Compliance is accomplished by filing an electronic Exhibit B form at <a href="https://www.fara.gov">https://www.fara.gov</a>.

Privacy Act Statement. The filing of this document is required for the Foreign Agents Registration Act of 1938, as amended, 22 U.S.C. § 611 et seq., for the purposes of registration under the Act and public disclosure. Provision of the information requested is mandatory, and failure to provide the information is subject to the penalty and enforcement provisions established in Section 8 of the Act. Every registration statement, short form registration statement, supplemental statement, exhibit, amendment, copy of informational materials or other document or information filed with the Attorney General under this Act is a public record open to public examination, inspection and copying during the posted business hours of the FARA Unit in Washington, DC. Statements are also available online at the FARA Unit's webpage: <a href="https://www.fara.gov">https://www.fara.gov</a>. One copy of every such document, other than informational materials, is automatically provided to the Secretary of State pursuant to Section 6(b) of the Act, and copies of any and all documents are routinely made available to other agencies, departments and Congress pursuant to Section 6(c) of the Act. The Attorney General also transmits a semi-annual report to Congress on the administration of the Act which lists the names of all agents registered under the Act and the foreign principals they represent. This report is available to the public in print and online at: <a href="https://www.fara.gov">https://www.fara.gov</a>.

Public Reporting Burden. Public reporting burden for this collection of information is estimated to average .32 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information. Send comments regarding this burden estimate or any other aspect of this collection of information, including suggestions for reducing this burden to Chief, FARA Unit, Counterintelligence and Export Control Section, National Security Division, U.S. Department of Justice, Washington, DC 20530; and to the Office of Information and Regulatory Affairs, Office of Management and Budget, Washington, DC 20503.

	Name of Registrant Lobal Business Diplomacy LLC	2. Registration Number
	Name of Foreign Principal talian Trade Agency Chicago Office, Italian Trade C	Commission
	Check App	propriate Box:
4. <b>x</b>	The agreement between the registrant and the above-name checked, attach a copy of the contract to this exhibit.	ed foreign principal is a formal written contract. If this box is
5.	foreign principal has resulted from an exchange of corresp	and the foreign principal. The agreement with the above-named condence. If this box is checked, attach a copy of all pertinent which has been adopted by reference in such correspondence.
6.	contract nor an exchange of correspondence between the	nd the foreign principal is the result of neither a formal written parties. If this box is checked, give a complete description below of anding, its duration, the fees and expenses, if any, to be received.
7. V	What is the date of the contract or agreement with the foreign	principal? 07/14/2017
	Describe fully the nature and method of performance of the al	pove indicated agreement or understanding.

Method

Purpose

Set forth below in the required detail the registrant's political activities.

Contact

Date

the foreign pri	During the period beginning 60 days prior to the obligation to register <sup>3</sup> for this foreign principal, has the registrant received from the foreign principal, or from any other source, for or in the interests of the foreign principal, any contributions, income, money, or thing of value either as compensation, or for disbursement, or otherwise?		
Yes 🗷	No 🗆		
If yes, set fortl	h below in the required de	etail an account of such monies or things of va	lue.
Date Received See Appendix for Response	From Whom	Purpose	Amount/Thing of Value
13 During the ne	riod heginning 60 days n	rior to the obligation to register 4 for this foreig	on principal has the registrant dishursed or
		ctivity on behalf of the foreign principal or train	
Yes 🗆	No 🗷		
If yes, set fort	h below in the required d	etail and separately an account of such monies	s, including monies transmitted, if any.
Date	Recipient	Purpose	Amount

<sup>1 &</sup>quot;Political activity," as defined in Section 1(o) of the Act, means any activity which the person engaging in believes will, or that the person intends to, in any way influence any agency or official of the Government of the United States or any section of the public within the United States with reference to formulating, adopting, or changing the domestic or foreign policies of the United States or with reference to the political or public interests, policies, or relations of a government of a foreign country or a foreign political party.

<sup>2,3,4</sup> Pursuant to Section 2(a) of the Act, an agent must register within ten days of becoming an agent, and before acting as such.

### **EXECUTION**

Date	Printed Name	Signature	
08/04/2021	Carmine D'Aloisio	/s/Carmine D'Aloisio	
	<u>-</u> /	_	
		<u></u>	

### **EXECUTION**

Date	Printed Name	Signature
08/03/202	LARMINE D'ALUISIO	Carmer Moise
/ /		

## Appendix Response to Item 8

#### Item 8: Describe fully the nature and method of performance of the above indicated agreement or understanding.

I performed this contracting work between July 2017 and February 2018

Acted as contractor to assist with the organization of a trade and investment conference in Milan Italy, organized by the Italian Trade Agency. It was designed to introduce Italian industrial machinery producers to opportunities to collaborate with American universities specialized in Industry 4.0 technologies. This concerns the introduction of Industry of Things (IoT) automation to traditional manufacturing.

My role was to (1) identify American universities well known for Industry 4.0 technical assistance to manufacturing companies, and (2) to invite six IoT researchers and economic development specialists from the universities to comet to Milan and speak at the conference.

The conference was originally scheduled to take place in the second half of 2017. It was rescheduled to February 2018.

## Appendix Response to Item 9

#### Item 9: Describe fully the activities the registrant engages in or proposes to engage in on behalf of the above foreign principal.

During the period of July 2017 to February 2018 I engaged in the following activities on behalf of the client:

- (1) I conducted secondary research to identifying appropriate American universities to work with. I utilized public information from the U.S. News & World Reports annual best college rankings. I also utilized university websites. This research helped us understand which universities specialized in manufacturing research and which ones offered technical assistance research and product development consulting services. This then enabled me to identify from university websites specific university researchers and business development managers that matched the focus of the conference program.
- (2) I wrote by email to selected university researchers and managers to interest them in speaking at the Milan conference rescheduled to February 2018
- (3) Over the course of the summer and fall of 201, I followed up on an occasional basis by phone and email with individuals who expressed interest in speaking at the conference.
- (4) I passed these names to the Italian Trade Agency Chicago Office representatives who followed up with specific letters of invitation.

In all I directly assisted the Chicago Office recruit 5-6 speakers for the conference.

# Appendix Response to Item 12

Item 12: During the period beginning 60 days prior to the obligation to register for this foreign principal, has the registrant received from the foreign principal, or from any other source, for or in the interests of the foreign principal, any contributions, income, money, or thing of value either as compensation, or for disbursement, or otherwise? If yes, set forth below in the required detail an account of such monies or things of value.

Date Received	From Whom	Purpose	Amount/Thing of Value
02/19/2018	Italian Trade Agency Chicago Office	To attend the Italian Trrade Agency Industry 4.0 Conference in Milan to assist with coordination	Round trip economy airline tickets from Washington DC to Milan Italy and return. Departin DC 02/19/18. Tickets provided by Italian Trade Agency and issued by a U.S. travel agency.
02/22/2018	Italian Trade Agency Chicago Office	To attend the Italian Trade Agency Industry 4.0 Conference in Milan to assist with coordination	Three nigths at a 4 star hotel in Milan, February 20, 21, 22, 2018. Hotel bill paid by Italian Trade Agency.



#### **ITALIAN TRADE AGENCY**

ICE - Italian Trade Commission Trade Promotion Section of the Italian Embassy

Chicago Office

Date: June 27, 2017 Prot: P1432017-0779

Picariello

Forn. CB300474 COAN: U164C054E7 ORD/DET: 186/149 COGE: 654502002

CIG:

Carmine D'Aloisio
Global Business Diplomacy

7302 Broxburn Court Bethesda, MD 20817

Italian Trade Agency: Italy - US Advanced Manufacturing Conference in Italy - November 2017 - Letter of Order for Conference Coordinative and Logistic Services

With reference to our recent correspondence with your company and your proposal received on June 13, 2017, our Office of the Agency is pleased to confirm your coordinative and logistics services on the occasion of the Agency's Italy - US Advanced Manufacturing 2017 Conference scheduled for November 2017 in Milan, Italy per those elements as indicated within this LOO.

June/July 2017 Activities - Mapping of US Universities

Your company will provide a mapping of the top 10 US universities in the area of advanced manufacturing and research including the following elements (as per public information available per each element below and in the format of the template provided attached to this LOO):

- US ranking as a leading university for advanced manufacturing research with source cited;
- University Faculty and Student Overview Number of Faculty, Students (under and postgraduate) Engineering and Research Programs with brief descriptions;
- Pertaining to Manufacturing indicate Key Leading Faculty and Research Individuals with professional background CVs and current and recent projects programs, publications, etc. conducted per each - with nominees from each to present / take part in the conference in Italy and on what topics;
- No. of Key Research Projects with briefs on said projects and their impact in the manufacturing marketplace;

401 North Michigan Avenue, Suite 1720 CHICAGO, IL 60611 chicago@ice.it T: 312-670-4360 F: 312-670-5147 www.ice.gov.it

ICE – Agenzia per la promozione all'estero e l'internazionalizzazione delle imprese italiane Via Liszt, 21 – 00144 – ROMA Part. Iva 12020391004



Chicago Office

- No. of Government Agency Projects with briefs on said projects and their impact in the manufacturing marketplace;
- Funding Provided public / private with estimated amounts in terms of grants and funding;
- Estimates on amount of funding and grants within the US market for both or either public and commercial activities pertaining to research and technology development;
- No. of industrial collaboration programs with private industry with briefs on each with results expected and or obtained;
- No. of international agreement programs with foreign universities, public institutions and advanced research centers - citing in particular those conducted with Italian universities (with descriptions of said agreements and key developments realized per the areas of technology transfer, research and development cooperation as pertaining to manufacturing industries).

This element is to estimated to be completed by no later than July 7th, 2017 with the understanding that the ITA may require adjustments per the information provided within said mapping, prior to its official approval. From this work the following elements will be handled and developed by your company:

· Nniversities, Government Institutions and Private Industry

On behalf of our Agency, your company will continue per this LOO to perform work to provide a selected number of researchers and faculty leaders from leading US universities in the area of advanced manufacturing, for securing and assisting them in their participation at the conference in Italy. Individuals as well having been indicated from Private industry who have been noted to be working with said universities in industrial-academic research will also be sought and engaged by your company to assist and ensure their participation at the conference.

For these activities, the TA will provide your company with official correspondence tools including and not limited to ITA letterhead, email account, etc which would be required for your company's successfully conducting activities on behalf of the ITA.

The ITA requires a list of <u>no less than six (6) universities</u> (all if not most from the top 10 universities per your mapping) with nominees provided who have agreed to participate at the conference with indications of topics - including briefs on which they will present.

The ITA will be available to provide assistance both per your company's dialogue with universities and related parties along with intervening and participating in discussions as would be needed in areas as they prepare their respective participations at the conference.

Italian Trade Agency Chicago USA

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## Keynote Speakers

As well, your company will work at sourcing, nominating, maintaining dialogue and providing assistance for US keynote speakers suggested and selected from Government Institutions and / or private industry, associations who will have agreed to participate at the conference. The ITA requests, for its review and selection, a minimum of four (4) candidates who would confirm their availability to participate at the conference.

The confirmed list for both presenters and keynoter(s) will be finalized by your company and the ITA by no later than September 15th, 2017

Attached to this letter of order, is the ITA list of benefits for which are to be presented to US universities and related contacts in your company's dialogue and correspondence.

### Periodic Update Reports

Your company will provide to the ITA periodic update reports throughout the duration of this agreement, with a frequency of no less than two weeks per report. Should more frequency be required by the ITA, your company would be obliged to comply to said request(s).

### Consideration of Industrial Sponsorship Commission Fees

As part of the aforementioned conference organizing activities include a sponsorship reach out to US companies - primarily those with physical presence in Italy, the ITA will award to your company of 20% commission on each industry sponsorship amount confirmed and fully executed upon successful completion of the conference.

Consideration of Third-Party Activities on behalf of the ITA

Your company may be required to perform services on the ITA's behalf with potential third-party suppliers and or promoters of the conference in Italy, for which separate considerations and conditions will be made on a per-need basis.

### Travel and Out-of-Pocket Expenses

As your company is a liaison, working in maintaining the relationships with the aforementioned individuals and institutions, your presence and on-site assistance will be required at the conference in Italy for which the ITA will cover separately and directly your company's representative(s) related travel and out-of-pocket expenses on the occasion of the conference in November.

Italian Trade Agency Chicago USA

Page 3 of 5



For said travel, our Office will request it be provided with original boarding passes for flights to and from Italy. Out-of-Pocket expenses must be presented and approved by the ITA before their potential occurrences.

### **ITA Payment Protocols**

The total cost, for your services as described within this Letter of Order along with those elements in your proposal has been agreed with this Agency for an all-inclusive amount \$ 10,700.00 (Ten Thousand Seven Hundred Dollars and Zero Cents).

The Italian Trade Agency will issue its payment for said amount as follows:

• One (1) payment to be made upon the upon the ITA's receipt of the digital mapping of US universities in advanced manufacturing - expected to be completed by no later than July 7th, 2017- and an original invoice.

### Closing Elements & Understanding

The Italian Trade Agency will be the primary government agency with which your company will work. Any other Italian government entities - public or private which could potentially be involved in this project are to be handled primarily by the ITA unless otherwise indicated. Beyond said payment your company will continue to carry out and provide the ITA the deliverables cited in this LOO.

As per Italian Law we are required to include the attached "Integrity Pact Form" to ensure that the Italian Trade Agency engages in transparent and fair relationships in the U.S.

This letter of order along with the attached "Integrity Pact Form" overrides any elements which could be reflected in your proposal as applicable. All elements indicated in this letter of order as deliverables are to be completed successfully by your company in order that the ITA will issue full payment for the amount indicated.

Items and deliverables as indicated in your proposal have been taken into consideration within this Letter of Order (LOO), with the understanding that those indicated in this LOO are those items and deliverables to be executed on behalf of the ITA.

Italian Trade Agency Chicago ÚSA

TTALIAN TRADE AGENCY
ICE - Hanan Trade Commutation
Trade Promotions Section of the Besize Embassy

Chicago White

Kindly sign both this letter of order and Integrity Pact, along with your initials on the attached documents to confirm your understanding of the activities required to be fully completed by your company, providing our Office with counter-signed copies as required.

We look forward to working with your company on this initiative.

Sincerely,

Gabilele Barone

Deputy Trade Commissioner

Earmine DA/DISS
For Acceptance 07/14/2017
Carmine D'Aloisio

Enclosed:

ITA Integrity Pact (2 pages)

• ITA Benefits for US Participants (one page)

May 2017 General Draft of the ITA Manufacturing Conference Framework (13 pages)